



UNIVERSAL PRIME ALUMINIUM LIMITED

(FORMERLY : UNIVERSAL CANS AND CONTAINERS LTD.)

Office : CENTURY BHAVAN, 771, Dr. ANNIE BESANT ROAD, WORLI, MUMBAI - 400 030.
Phone : 022 - 24307437 / 24304198 ★ Fax : 022 - 2437 0434
E mail : upalbby@gmail.com
CIN NO. : L28129MH1971PLC015207

23rd October, 2019

To
Corporate Relationship Department,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street,
Mumbai - 400 001

Security Code: 504673

Dear Sir/Madam,

Subject: Certificate pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015:

With reference to the subject captioned above, please find enclosed herewith the Certificate pursuant to Regulation 40 (9) of the SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, issued by CS Sweety Kapoor, Practising Company Secretary for the half year ended 30th September, 2019.

Kindly take the same on record and oblige.

Thanking You,

Regards
For Universal Prime Aluminium Limited




PRIYANKA MOTWANI
Company Secretary & Compliance Officer



Sweetly Kapoor

Practising Company Secretary

📍 : Business Communication Centre,
21, Parsee Church Street, Room No. 4
Near - 18, Ezra Street, Kolkata - 700001
☎ : 033-40083749
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✉ : sweetlykapoor53@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and its press release on 3rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of Securities would be carried out in dematerialized form w.e.f., 1st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019.

Accordingly, On the basis of examination of all relevant books, register, forms, documents and papers made available to me by M/s. Maheshwari Datamatics Pvt. Ltd., Registrars and Transfer Agents of M/s. Universal Prime Aluminium Limited, for the purpose of issuing this certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015, as amended from time to time, and on the basis of the explanation given to me, I hereby certify that in relation to the half year period from 1st April, 2019 to 30th September, 2019, the share certificates in respect of valid transfers and transmission cases have been issued within the stipulated time limit specified under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Further there were no requests received for sub-division, consolidation, renewal, duplicate issue.

Place: Kolkata

Date: 19th October, 2019



Sweetly Kapoor
(Sweetly Kapoor)

Practising Company Secretary
FCS - 6410, COP - 5738

UDIN: F006410A000123725